

# Modular Techniques in Computational Algebraic Geometry

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15 January 2016

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- Goal:

General reconstruction scheme for algorithms in commutative algebra, algebraic geometry, number theory.



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- Normalization
- Local-to-global algorithm for adjoint ideals
- Modular version and verification

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How to obtain a rational number from  $\overline{22684}$ ?

## Theorem (Kornerup, Gregory, 1983)

### The Farey map

$$\left\{ \frac{a}{b} \in \mathbb{Q} \mid \begin{array}{l} \gcd(a, b) = 1 \\ \gcd(b, N) = 1 \end{array} \quad |a|, |b| \leq \sqrt{(N-1)/2} \right\} \longrightarrow \mathbb{Z}/N$$
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Indeed, in the above example

$$\left\{ \frac{a}{b} \in \mathbb{Q} \mid \begin{array}{l} \gcd(a, b) = 1 \\ \gcd(b, 38885) = 1 \end{array} \quad |a|, |b| \leq 139 \right\} \longrightarrow \mathbb{Z}/38885$$

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## Definition

A prime  $p$  is called **bad** if the result over  $\mathbb{Q}$  does not reduce modulo  $p$  to the result over  $\mathbb{Z}/p$ .

# Bad primes in Gröbner basis computations

For  $G \subset K[X] = K[x_1, \dots, x_n]$  and a monomial ordering  $>$ , let  $\text{LM}(G)$  be the set of lead monomials of  $G$ .

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that is,  $p$  is not bad.

## Example

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w.r.t  $lp$  is

$$264627y^{39} + \dots,$$

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and  $\text{LM } G = \text{LM } G(p)$  for all primes  $p$  except

$$p = 3, 5, 11, 809, 65179, 531264751, 431051934846786628615463393.$$



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- Type 5: otherwise.

## Example of type 5 bad prime

For ideal  $I \subset \mathbb{Q}[X]$  and prime  $p$  define  $I_p = (I \cap \mathbb{Z}[X])_p$ .

### Example

Consider the algorithm  $I \mapsto \sqrt{I + \text{Jac}(I)}$  for

$$I = \langle x^6 + y^6 + 7x^5z + x^3y^2z - 31x^4z^2 - 224x^3z^3 + 244x^2z^4 + 1632xz^5 + 576z^6 \rangle$$

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$$U(0) = \sqrt{I + \text{Jac}(I)} = \langle y, x - 4z \rangle \cap \langle y, x + 6z \rangle$$

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Hence

$$U(0)_5 \neq U(5)$$

$$\text{LM}(U(0)) = \langle y, x^2 \rangle = \text{LM}(U(5))$$

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## Lemma (BDFP, 2015)

*All  $(x, y) \in \Lambda$  with  $x^2 + y^2 < N$  are collinear.*

## Proof.

Let  $\lambda = (x, y), \mu = (c, d) \in \Lambda$  with  $x^2 + y^2, c^2 + d^2 < N$ . Then  $y\mu - d\lambda = (yc - xd, 0) \in \Lambda$ , so  $N \mid (yc - xd)$ . By Cauchy–Schwarz  $|yc - xd| < N$ , hence  $yc = xd$ . □

# Error tolerant reconstruction

*Goal:* Reconstruct  $\frac{a}{b}$  from  $\bar{r} \in \mathbb{Z}/N$  in the presence of bad primes.

*Idea:* Find  $(x, y)$  with  $\frac{x}{y} = \frac{a}{b}$  in the lattice

$$\Lambda = \langle (N, 0), (r, 1) \rangle \subset \mathbb{Z}^2$$

## Lemma (BDFP, 2015)

All  $(x, y) \in \Lambda$  with  $x^2 + y^2 < N$  are collinear.

## Proof.

Let  $\lambda = (x, y), \mu = (c, d) \in \Lambda$  with  $x^2 + y^2, c^2 + d^2 < N$ . Then  $y\mu - d\lambda = (yc - xd, 0) \in \Lambda$ , so  $N \mid (yc - xd)$ . By Cauchy–Schwarz  $|yc - xd| < N$ , hence  $yc = xd$ . □

Now suppose

$$N = N' \cdot M$$

with  $\gcd(N', M) = 1$ .

# Error tolerant reconstruction

Think of  $N'$  as the product of the good primes with correct result  $\bar{s}$ , and of  $M$  as the product of the bad primes with wrong result  $\bar{t}$ .



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## Theorem (BDFP, 2015)

If  $\bar{r} \mapsto (\bar{s}, \bar{t})$  with respect to  $\mathbb{Z}/N \cong \mathbb{Z}/N' \times \mathbb{Z}/M$

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$$\frac{a}{b} \equiv s \pmod{N'}$$

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then  $(aM, bM) \in \Lambda$ .

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then  $(aM, bM) \in \Lambda$ . So if

$$(a^2 + b^2)M < N',$$

then (by the lemma)

$$\frac{x}{y} = \frac{a}{b} \text{ for all } (x, y) \in \Lambda \text{ with } (x^2 + y^2) < N$$

and such vectors exist.

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and such vectors exist. Moreover, if  $\gcd(a, b) = 1$  and  $(x, y)$  is a shortest vector  $\neq 0$  in  $\Lambda$ , we also have  $\gcd(x, y) \mid M$ .

# Error tolerant reconstruction via Gauss-Lagrange

Hence, if  $N' \gg M$ , the Gauss-Lagrange-Algorithm for finding a shortest vector  $(x, y) \in \Lambda$  gives  $\frac{a}{b}$  independently of  $t$ , provided  $x^2 + y^2 < N$ .

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## Algorithm (Error tolerant reconstruction)

**Input:**  $N$  and  $r$ .

**Output:**  $\frac{a}{b}$  or *false*.

1:  $(a_0, b_0) := (N, 0)$ ,  $(a_1, b_1) := (r, 1)$ ,  $i := -1$

2: **repeat**

3:  $i = i + 1$

4:  $(a_{i+2}, b_{i+2}) = (a_i, b_i) - \left\lfloor \frac{\langle (a_i, b_i), (a_{i+1}, b_{i+1}) \rangle}{\|(a_{i+1}, b_{i+1})\|^2} \right\rfloor (a_{i+1}, b_{i+1})$

5: **until**  $a_{i+2}^2 + b_{i+2}^2 \geq a_{i+1}^2 + b_{i+1}^2$

6: **if**  $a_{i+1}^2 + b_{i+1}^2 < N$  **then**

7: **return**  $\frac{a_{i+1}}{b_{i+1}}$

8: **else**

9: **return** *false*

## Example

We reconstruct  $\frac{13}{12}$  from

$$\overline{22684} \in \mathbb{Z}/38885$$

by determining a shortest vector in the lattice

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$$\begin{aligned}(38885, 0) &= 2 \cdot (22684, 1) + (-6483, -2), \\(22684, 1) &= -3 \cdot (-6483, -2) + (3235, -5), \\(-6483, -2) &= 2 \cdot (3235, -5) + (-13, -12), \\(3235, -5) &= -134 \cdot (-13, -12) + (1493, -1613).\end{aligned}$$



# Reconstruction via Gauss-Lagrange

## Example

Now introduce an error in the modular results:

$$\begin{aligned} \mathbb{Z}/5 \times \mathbb{Z}/7 \times \mathbb{Z}/11 \times \mathbb{Z}/101 &\cong \mathbb{Z}/38885 \\ (\bar{4}, \bar{4}, \bar{2}, \bar{60}) &\mapsto \overline{22684} \end{aligned}$$

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Note that

$$(13^2 + 12^2) \cdot 7 = 2191 < 5555 = 5 \cdot 11 \cdot 101.$$

# General reconstruction scheme

*Setup:* For ideal  $I \subset \mathbb{Q}[X]$  compute ideal (or module)  $U(0)$  associated to  $I$  by deterministic algorithm.

## Algorithm

- For  $I_p$  compute result  $U(p)$  over  $\mathbb{Z}/p$  for  $p$  in finite set of primes  $\mathcal{P}$ .

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## Theorem (BDFP, 2015)

*If the bad primes form a Zariski closed true subset of  $\text{Spec } \mathbb{Z}$ , then this algorithm terminates with the correct result.*

# Normalization

Setup:  $A = K[X]/I$  domain.

## Definition

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Curve  $I = \langle x^3 + x^2 - y^2 \rangle \subset K[x, y]$

$$\begin{aligned} A = K[x, y]/I &\cong K[t^2 - 1, t^3 - t] \subset K[t] \cong \bar{A} \\ \bar{x} &\mapsto t^2 - 1 \\ \bar{y} &\mapsto t^3 - t \end{aligned}$$

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As an  $A$ -module  $\bar{A} = \left\langle 1, \frac{\bar{y}}{\bar{x}} \right\rangle$ .

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we get a chain of extensions of reduced Noetherian rings

$$A = A_0 \subset \cdots \subset A_i \subset \cdots \subset A_m = A_{m+1}.$$

Terminates since  $A$  is Noetherian.

**Non-normal locus**  $N(A)$  is contained in **singular locus**  $\text{Sing}(A)$ .



# Grauert-Remmert criterion

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$$N(A_i) \subset V(\sqrt{JA_i})$$

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and

$$\bar{A} = B_1 + \dots + B_r.$$

We call  $B_i$  the **minimal local contribution** to  $\bar{A}$  at  $P_i$ .

# Adjoint ideals

*Setup:*  $\Gamma \subset \mathbb{P}^r$  integral, non-degenerate projective curve,  $\pi : \bar{\Gamma} \rightarrow \Gamma$  normalization map,  $I(\Gamma) \subsetneq I \subset k[x_0, \dots, x_r]$  saturated homogeneous ideal.

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$$h^0(\Gamma, \mathcal{F}) = \sum_{P \in \text{Sing}(\Gamma)} \ell(I_P \overline{\mathcal{O}_{\Gamma, P}} / I_P) \quad \implies$$

## Theorem (Arbarello, Ciliberto, 1983)

$I$  adjoint  $\iff I_P \overline{\mathcal{O}_{\Gamma, P}} = I_P$  for all  $P \in \text{Sing}(\Gamma)$ .

Conductor is largest ideal with this property.

## Definition

**Gorenstein adjoint ideal** is the unique largest homogeneous ideal

$\mathfrak{G} \subset K[x_0, \dots, x_r]$  with

$$\mathfrak{G}_P = \mathcal{C}_{\mathcal{O}_{\Gamma, P}} \text{ for all } P \in \text{Sing}(\Gamma).$$

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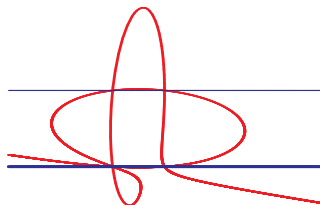
Brill-Noether-Algorithm for computing Riemann-Roch spaces.

# Example

Minimal generators of  $\mathcal{O}$  for rational curve of degree 5:

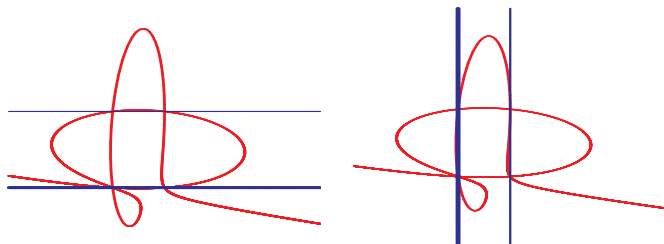
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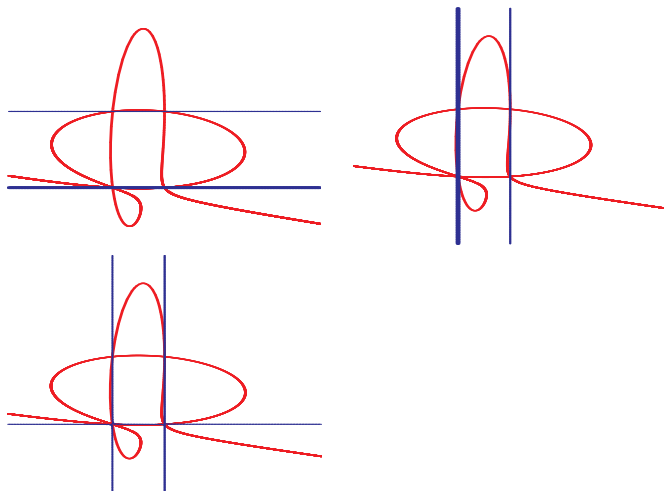
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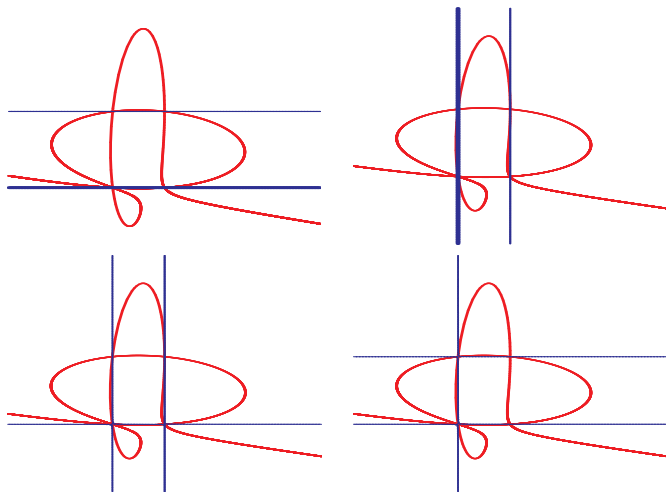
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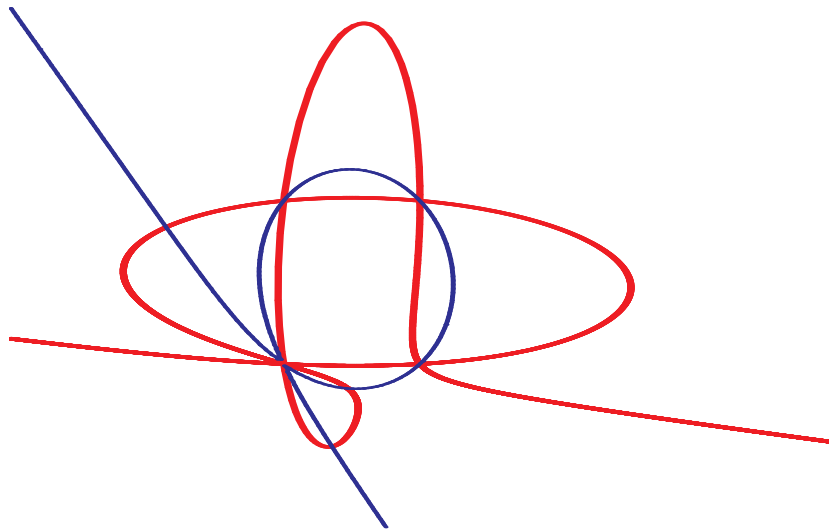


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# Local-to-global algorithm

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## Algorithm (BDLP, 2015)

If  $\frac{1}{d}U$  is the minimal local contribution at  $P$  then

$$\mathfrak{G}(P) = (d : U)^h$$

# Special types of singularities

If  $\Gamma \subset \mathbb{P}^2$  has a singularity of type  $A_n$  at  $P = (0 : 0 : 1)$ , then given by

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Similar results for  $D_n$ ,  $E_n$  and other singularities in Arnold's list.

## Example

$f = x^4 - y^2 + x^5$  with  $A_3$  singularity. Then  $\mathfrak{G}(P) = \langle x^2, y \rangle$ .

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Theorem (BDLP, 2015, corollary to Lipman, 2006)

$$\delta(\Gamma) \leq \delta(\Gamma_p)$$

and  $\delta$ -constant flat family admits a simultaneous normalization.

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then

$$\begin{aligned}\deg \Delta(I) &= \deg \Delta(I_p) = (\deg \Gamma) \cdot m - \tilde{d}(g_p) \\ \delta(\Gamma) &= \delta(\Gamma_p)\end{aligned}$$

and  $I$  is an adjoint ideal.

- Computer algebra system for polynomial computations, over 30 development teams worldwide, over 130 libraries for advanced topics.





# Timings in SINGULAR

Plane curve  $f_n$  of degree  $n$  with  $\binom{n-1}{2}$  singularities of type  $A_1$ .

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	parallel	probabilistic	$f_5$	$f_6$	$f_7$
locNormal			2.1	56	-
Maple-IB			5.1	47	318
LA			98	4400	-
IQ			1.3	54	3800
locIQ	■		1.3 (1)	54 (1)	3800 (1)
ADE	■		.18 (1)	1.2 (1)	49 (1)
modLocIQ			6.4 [33]	19 [53]	150 [75]
		■	6.2 [33]	18 [53]	104 [75]
	■		.36 (74)	1.6 (153)	51 (230)
	■	■	.21 (74)	0.48 (153)	5.2 (230)

[primes] (cores)

# Timings in SINGULAR

Plane curve  $f_{n,d}$  of degree  $d$  with one singularity of type  $D_n$ .

Curves  $h_1, h_2$  of degree 20 and 28 in  $\mathbb{P}^5$ .







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	parallel	probabilistic	$f_{50,500}$	$f_{400,500}$	$h_1$	$h_2$
locNormal			.67	4.9	21	-
Maple-IB			1830	-	N/A	N/A
LA			-	-	N/A	N/A
IQ			.67	5.0	30	-
locIQ	■		.67 (1)	5.0 (1)	7.5 (6)	-
ADE	■		.58 (1)	5.0 (1)	N/A	N/A
modLocIQ		■	1.5 [2]	24 [2]	27 [3]	2600 [5]
	■	■	.77 (2)	17 (2)	4.0 [27]	59 (69)

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